

Bi-Weekly **Email** **Review** Report

Service Overview

Service Name:

Compliance Email Review

Point of Contact:

Naseeha Machingal

Process Lead:

Gayathri Rajeev

Status Date:

January 23, 2023

Service Description:

LegalEase attorneys shall review inbound and outbound email correspondence to provide additional supervision in the oversight of advisory practices of Russell Investments US broker-dealer and advisory associates.

Daily Tracker

Email's reviewed till date

Status Date

Date

Emails Reviewed

Emails Escalated

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